

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: William H. Velander, et al.

Title: EXPRESSION OF ACTIVE HUMAN FACTOR IX IN MAMMARY TISSUE OF

TRANSGENIC ANIMALS

Appl. No.: unassigned

Filing Date: February 5, 2002

Examiner: unassigned

Art Unit: unassigned

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.56

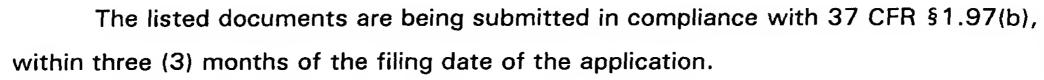
Commissioner for Patents
Box PATENT APPLICATION
Washington, D.C. 20231

Sir:

Applicants submit herewith on Form PTO-1449 a listing of the documents cited by or submitted to the U.S. PTO in parent application Serial No. 09/367,087, filed September 15, 1999. As provided in 37 CFR §1.98(d), copies of the documents are not being provided since they were previously submitted to the United States Patent & Trademark Office in the above-identified parent application.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

### TIMING OF THE DISCLOSURE





## **RELEVANCE OF EACH DOCUMENT**

All of the documents are in English.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date February 5, 2002

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Sheet 1 of 1 Form PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. SERIAL NO. unassigned 2 (MODIFIED) 030523/0185 PATENT AND TRADEMARK OFFICE **APPLICANT** William H. Velander, et al. INFORMATION DISCLOSURE CITATION FILING DATE **GROUP ART UNIT** February 5, 2002 unassigned of Date Submitted: March 9, 2000 **U.S. PATENT DOCUMENTS FILING DATE DOCUMENT** SUB-**EXAMINER** DATE REF NAME **CLASS** IF INITIAL **CLASS** NUMBER **APPROPRIATE A1** 11/22/88 Smith, Kenneth 4,786,726 4,873,316 Meade, et al. 10/10/89 **A2** 5,171,569 12/15/92 Ansons, et al. **A3** 5,322,775 06/21/94 Clark, et al. **A4** 11/22/94 Clark, et al. 5,366,894 **A5** 12/19/95 5,476,995 Clark, et al. **A6** 5,589,604 Drohan, et al. 12/31/96 **A7 FOREIGN PATENT DOCUMENTS** TRANSLATION **DOCUMENT** SUB-**CLASS** DATE **REF** COUNTRY NUMBER **CLASS** YES NO 0 274 489 B1 10/15/97 **EPO A8** 0 396 699 B1 10/01/97 **EPO A9** 0 791 652 A1 08/27/97 **EPO** A10 90/05188 05/17/90 **WIPO A11** 91/08216 06/13/91 **WIPO** A12 94/05796 A13 03/17/94 **WIPO** 95/30000 11/09/95 **WIPO** A14 OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Clark et al. (1989) Bio/Technology 7, 487-492. A15 Velander et al. (1992) Proc. Natl. Acad. Sci. 89, 12003-12007. A16 Drohan et al. (1994) Transgenic Res. 3, 355-364. **A17** Anson et al. (1985) Nature 315, 683-685 A18 4. Yull et al. (1995) Proc. Natl. Acad. Sci. 92, 10899-10903. A19

## **EXAMINER**

DATE CONSIDERED

<sup>\*</sup> EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line thr ugh citati n if n t in conformanc and n t considered. Include any copy f this f rm with next communicati n t applicant.